

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

<http://lobbyingdisclosure.house.gov>

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

<http://www.senate.gov/lobby>

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name  Organization/Lobbying Firm  Self Employed Individual

**BROWNSTEIN HYATT FARBER SCHRECK, LLP**

2. Address  Check if different than previously reported

Address1 410 17TH STREET, 22ND FLOOR Address2 \_\_\_\_\_

City DENVER State CO Zip Code 80202 - Country USA

3. Principal place of business (if different than line 2)

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_ - Country \_\_\_\_\_

4a. Contact Name

b. Telephone Number c. E-mail

GEORGIANNE M. BATES  International Number (303) 223-1304 gbates@bhfs.com

5. Senate ID#

7257-1193

7. Client Name  Self  Check if client is a state or local government or instrumentality

MERRILL LYNCH

6. House ID#

318420081

**TYPE OF REPORT** 8. Year 2008 Q1 (1/1 - 3/31)  Q2 (4/1 - 6/30)  Q3 (7/1-9/30)  Q4 (10/1 - 12/31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  Termination Date \_\_\_\_\_ 11. No Lobbying Issue Activity

### INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

#### 12. Lobbying

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$5,000

\$5,000 or more  \$ \$ 30,000.00

Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

#### 13. Organizations

**EXPENSE** relating to lobbying activities for this reporting period were:

Less than \$5,000

\$5,000 or more  \$ \_\_\_\_\_

**14. REPORTING** Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only
- Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
- Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Filed Electronically

Date 10/15/2008

Printed Name and Title Alfred E. Mottur, Attorney at Law

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code  FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES (one per page)

16. Specific lobbying issues

Issues relating to the securities industry

17. House(s) of Congress and Federal agencies  Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Judy	Black			<input type="checkbox"/>
Ellen	Chube		Sr. Legisl. Asst.-Congressman Harold Ford, Jr.	<input type="checkbox"/>
Ellen	Chube		Legislative Asst. - Congressman Harold Ford, Jr.	<input type="checkbox"/>
Michael	Levy			<input type="checkbox"/>
Richard	May			<input type="checkbox"/>
Alfred	Mottur			<input type="checkbox"/>
Carmencita	Whonder		Stf Dir-Subcom on Hous., Transport & Comm Dev	<input type="checkbox"/>
Carmencita	Whonder		Minority Staff Dir.-Subcomm on Econ. Policy	<input type="checkbox"/>
Carmencita	Whonder		Legisl. Corresp.-Office of Sen. Charles Schumer	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Printed Name and Title Alfred E. Mottur, Attorney at Law